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ON THE p -ADIC VALUATION OF STIRLING NUMBERS OF THE FIRST KIND

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ABSTRACT. For all integers $n \geq k \geq 1$, define $H(n, k) := \sum 1/(i_1 \cdots i_k)$, where the sum is extended over all positive integers $i_1 < \cdots < i_k \leq n$. These quantities are closely related to the Stirling numbers of the first kind by the identity $H(n, k) = s(n+1, k+1)/n!$. Motivated by the works of Erdős–Niven and Chen–Tang, we study the p -adic valuation of $H(n, k)$. Lengyel proved that $\nu_p(H(n, k)) > -k \log_p n + O_k(1)$ and we conjecture that there exists a positive constant $c = c(p, k)$ such that $\nu_p(H(n, k)) < -c \log n$ for all large n . In this respect, we prove the conjecture in the affirmative for all $n \leq x$ whose base p representations start with the base p representation of $k-1$, but at most $3x^{0.835}$ exceptions. We also generalize a result of Lengyel by giving a description of $\nu_2(H(n, 2))$ in terms of an infinite binary sequence.

1. INTRODUCTION

It is well known that the n -th harmonic number $H_n := 1 + \frac{1}{2} + \cdots + \frac{1}{n}$ is not an integer whenever $n \geq 2$. Indeed, this result has been generalized in several ways (see, e.g., [2, 7, 13]). In particular, given integers $n \geq k \geq 1$, Erdős and Niven [8] proved that

$$H(n, k) := \sum_{1 \leq i_1 < \cdots < i_k \leq n} \frac{1}{i_1 \cdots i_k}$$

is an integer only for finitely many n and k . Precisely, Chen and Tang [4] showed that $H(1, 1)$ and $H(3, 2)$ are the only integral values. (See also [11] for a generalization to arithmetic progressions.)

A crucial step in both the proofs of Erdős–Niven and Chen–Tang’s results consists in showing that, when n and k are in an appropriate range, for some prime number p the p -adic valuation of $H(n, k)$ is negative, so that $H(n, k)$ cannot be an integer.

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Moreover, a study of the p -adic valuation of the harmonic numbers was initiated by Eswarathasan and Levine [9]. They conjectured that for any prime number p the set \mathcal{J}_p of all positive integers n such that $\nu_p(H_n) > 0$ is finite. Although Boyd [3] gave a probabilistic model predicting that $\#\mathcal{J}_p = O(p^2(\log \log p)^{2+\varepsilon})$, for any $\varepsilon > 0$, and Sanna [22] proved that \mathcal{J}_p has asymptotic density zero, the conjecture is still open. Another result of Sanna [22] is that $\nu_p(H_n) = -\lfloor \log_p n \rfloor$ for any n in a subset \mathcal{S}_p of the positive integers with logarithmic density greater than 0.273.

In this paper, we study the p -adic valuation of $H(n, k)$. Let $s(n, k)$ denotes an unsigned Stirling number of the first kind [10, §6.1], i.e., $s(n, k)$ is the number of permutations of $\{1, \dots, n\}$ with exactly k disjoint cycles. Then $H(n, k)$ and $s(n, k)$ are related by the following easy identity.

Lemma 1.1. *For all integers $n \geq k \geq 1$, we have $H(n, k) = s(n+1, k+1)/n!$.*

In light of Lemma 1.1, and since the p -adic valuation of the factorial is given by the formula [10, p. 517, 4.24]

$$\nu_p(n!) = \frac{n - s_p(n)}{p-1},$$

where $s_p(n)$ is the sum of digits of the base p representation of n , it follows that

$$\nu_p(H(n, k)) = \nu_p(s(n+1, k+1)) - \frac{n - s_p(n)}{p-1}, \quad (1)$$

hence the study of $\nu_p(H(n, k))$ is equivalent to the study of $\nu_p(s(n+1, k+1))$. That explains the title of this paper.

In this regard, p -adic valuations of sequences with combinatorial meanings have been studied by several authors (see, e.g., [5, 15, 17, 19, 20, 21, 23]). In particular, the p -adic valuation of Stirling numbers of the second kind have been extensively studied [1, 6, 12, 14, 16]. On the other hand, very few seems to be known about the p -adic valuation of Stirling numbers of the first kind. Indeed, up to our knowledge, the only systematic work on this topic is due to Lengyel [18]. Among several results, he showed (see the proof of [18, Theorem 1.2]) that, for all primes p and positive integers k , it holds

$$\nu_p(H(n, k)) > -k \log_p n + O_k(1). \quad (2)$$

The main aim of this article is to provide an upper bound for $\nu_p(H(n, k))$. In this respect, we believe that inequality (2) is *nearly* optimal, and our Theorem 2.3 confirms this in the special case when the base p representation of n starts with the base p representation of $k-1$.

We restrict ourselves to this special case since the proofs are already quite involved. However, we think that our method could be improved to remove this condition on the base p representation of n . Probably, the first step in that direction would be finding a general p -adic expansion of $H(n, k)$ with coefficients depending only of the digits of the base p representation of n , extending Lemma 3.2 in the following.

Lastly, we also formulate the following:

Conjecture 1.1. *For any prime number p and any integer $k \geq 1$, there exists a constant $c = c(p, k) > 0$ such that $\nu_p(H(n, k)) < -c \log n$ for all sufficiently large integers n .*

2. MAIN RESULTS

Before stating our results, we need to introduce some notation and definition. For any prime number p , we write

$$\langle a_0, \dots, a_v \rangle_p := \sum_{i=0}^v a_i p^{v-i}, \text{ where } a_0, \dots, a_v \in \{0, \dots, p-1\}, a_0 \neq 0, \quad (3)$$

to denote a base p representation. In particular, hereafter, the restrictions of (3) on a_0, \dots, a_v will be implicitly assumed any time we will write something like $\langle a_0, \dots, a_v \rangle_p$.

For any positive integer $a = \langle a_0, \dots, a_v \rangle_p$, let $\mathcal{S}_p(a)$ be the set of all positive integers whose base p representations start with the base p representation of a , that is,

$$\mathcal{S}_p(a) := \{ \langle b_0, \dots, b_u \rangle_p : u \geq v \text{ and } b_i = a_i \text{ for } i = 0, \dots, v \}.$$

We call p -tree of root $a = \langle a_0, \dots, a_v \rangle_p$ a set of positive integers \mathcal{T} such that:

- (T1) $\langle a_0, \dots, a_v \rangle_p \in \mathcal{T}$;
- (T2) If $\langle b_0, \dots, b_u \rangle_p \in \mathcal{T}$ then $u \geq v$ and $b_i = a_i$ for $i = 0, \dots, v$;
- (T3) If $\langle b_0, \dots, b_u \rangle_p \in \mathcal{T}$ and $u > v$ then $\langle b_0, \dots, b_{u-1} \rangle_p \in \mathcal{T}$.

Hence, it is clear that $\mathcal{T} \subseteq \mathcal{S}_p(a)$. Moreover, for any $n = \langle d_0, \dots, d_s \rangle_p \in \mathcal{S}_p(a) \setminus \mathcal{T}$ we denote by $\mu_p(\mathcal{T}, n)$ the least positive integer r such that $\langle d_0, \dots, d_r \rangle_p \notin \mathcal{T}$. Note that $\mu_p(\mathcal{T}, n)$ is indeed well defined and that obviously $\mu_p(\mathcal{T}, n) \leq s$. Finally, the *girth* of \mathcal{T} is the least integer g such that for all $\langle b_0, \dots, b_u \rangle_p \in \mathcal{T}$ we have $\langle b_0, \dots, b_u, c \rangle_p \in \mathcal{T}$ for at most g values of $c \in \{0, \dots, p-1\}$.

We are ready to state our results about the p -adic valuation of $H(n, k)$.

Theorem 2.1. *Let p be a prime number and let $k \geq 2$ be an integer. Then there exist a p -tree $\mathcal{T}_p(k)$ of root $k-1$ and a nonnegative integer $W_p(k)$ such that for all integers $n = \langle d_0, \dots, d_s \rangle_p \in \mathcal{S}_p(k-1)$ we have:*

- (i) *If $n \notin \mathcal{T}_p(k)$ then $\nu_p(H(n, k)) = W_p(k) + \mu_p(\mathcal{T}_p(k), n) - ks$;*
- (ii) *If $n \in \mathcal{T}_p(k)$ then $\nu_p(H(n, k)) > W_p(k) - (k-1)s$.*

Moreover, the girth of $\mathcal{T}_p(k)$ is less than $p^{0.835}$. In particular, $\mathcal{T}_2(k)$ is infinite and its girth is equal to 1.

Note that the case $k = 1$ has been excluded from the statement. (As mentioned in the introduction, see [3, 9, 22] for results on the p -adic valuation of $H(n, 1) = H_n$.)

For given p and k , the proof of Theorem 2.1 gives a full description of the p -tree $\mathcal{T}_p(k)$ and of the nonnegative integer $W_p(k)$. Moreover, in Section 5 we explain a method to effectively compute the elements of $\mathcal{T}_p(k)$. Therefore, Theorem 2.1(i) gives an effective formula for $\nu_p(H(n, k))$ for any $n \in \mathcal{S}_p(k-1) \setminus \mathcal{T}_p(k)$. Note also that the bound on the girth of $\mathcal{T}_p(k)$ implies that $\mathcal{S}_p(k-1) \setminus \mathcal{T}_p(k)$ has infinitely many elements. Furthermore, for some p and k we have that $\mathcal{T}_p(k)$ is finite (see Section 5), hence in such cases computing $\nu_p(H(n, k))$ for the finitely many $n \in \mathcal{T}_p(k)$ and using Theorem 2.1(i) for $n \in \mathcal{S}_p(k-1) \setminus \mathcal{T}_p(k)$, we obtain a complete description of $\nu_p(H(n, k))$ for all $n \in \mathcal{S}_p(k-1)$.

Since the statement of Theorem 2.1 is a bit complicated, for the sake of clarity we give a numerical example: Take $p = 3$ and $k = 2$. Then $\mathcal{T}_p(k)$ is the finite set of 8 integers drawn in Figure 1, while $W_p(k) = 0$. If we choose $n = 1257 = \langle 1, 2, 0, 1, 1, 2, 0 \rangle_3$, then it follows easily that $n \in \mathcal{S}_p(k-1) \setminus \mathcal{T}_p(k)$ and $\mu_p(\mathcal{T}_p(k), n) = 3$ thus Theorem 2.1 gives $\nu_p(H(n, k)) = 0 + 3 - 2 \cdot 6 = -9$.

Lengyel [18, Theorem 2.5] proved that for each integer $m \geq 2$ it holds

$$\nu_2(s(2^m, 3)) = 2^m - 3m + 3$$

which, in light of identity (1), is in turn equivalent to

$$\nu_2(H(2^m - 1, 2)) = 4 - 2m. \quad (4)$$

As an application of Theorem 2.1, we give a corollary that generalizes (4) and provides a quite precise description of $\nu_2(H(n, 2))$.

Corollary 2.2. *There exists a sequence $f_0, f_1, \dots \in \{0, 1\}$ such that for any integer $n = \langle d_0, \dots, d_s \rangle_2 \geq 2$ we have:*

- (i) *If $d_0 = f_0, \dots, d_{r-1} = f_{r-1}$, and $d_r \neq f_r$, for some positive integer $r \leq s$, then $\nu_2(H(n, 2)) = r - 2s$;*
- (ii) *If $d_0 = f_0, \dots, d_s = f_s$, then $\nu_2(H(n, 2)) > -s$.*

Precisely, the sequence f_0, f_1, \dots can be computed recursively by $f_0 = 1$ and

$$f_s = \begin{cases} 1 & \text{if } \nu_2(H(\langle f_0, \dots, f_{s-1}, 1 \rangle_2, 2)) > -s, \\ 0 & \text{otherwise,} \end{cases} \quad (5)$$

for any positive integer s . In particular, $f_0 = 1, f_1 = 1, f_2 = 0$.

Note that (4) is indeed a consequence of Corollary 2.2. In fact, on the one hand, for $m = 2$ the identity (4) can be checked quickly. On the other hand, for any integer $m \geq 3$ we have $2^m - 1 = \langle d_0, \dots, d_{m-1} \rangle_2$ with $d_0 = \dots = d_{m-1} = 1$, so that $d_0 = f_0, d_1 = f_1$, and $d_2 \neq f_2$, hence (4) follows from Corollary 2.2(i), with $s = m - 1$ and $r = 2$.

Finally, we obtain the following upper bound for $\nu_p(H(n, k))$.

Theorem 2.3. *Fix a prime number p , and integer $k \geq 2$, and $x \geq (k - 1)p$. Then*

$$\nu_p(H(n, k)) < -(k - 1)(\log_p n - \log_p(k - 1) - 1)$$

holds for all $n \in \mathcal{S}_p(k - 1) \cap [(k - 1)p, x]$, but at most $3x^{0.835}$ exceptions.

Note that $\#(\mathcal{S}_p(k - 1) \cap [(k - 1)p, x]) \gg_{p,k} x$. Hence Theorem 2.3 gives an upper bound for $\nu_p(H(n, k))$ for almost all $n \in \mathcal{S}_p(k - 1)$, with respect to the its asymptotic relative density. In particular, there exists a positive constant $c = c(p, k)$ such that

$$\nu_p(H(n, k)) < -c \log(n)$$

for almost all $n \in \mathcal{S}_p(k - 1)$, which provides, in turn, a sort of evidence in support of Conjecture 1.1.

3. PRELIMINARIES

Let us start by proving the identity claimed in Lemma 1.1.

Proof of Lemma 1.1. By [10, Eq. 6.11] and $s(n + 1, 0) = 0$, we have the polynomial identity

$$\prod_{i=1}^n (X + i) = \sum_{k=0}^n s(n + 1, k + 1) X^k,$$

hence

$$1 + \sum_{k=1}^n H(n, k) X^k = \prod_{i=1}^n \left(\frac{X}{i} + 1 \right) = \frac{1}{n!} \prod_{i=1}^n (X + i) = \sum_{k=0}^n \frac{s(n+1, k+1)}{n!} X^k$$

and the claim follows. \square

From here later, let us fix a prime number p and let $k = \langle e_0, \dots, e_t \rangle_p + 1 \geq 2$ and $n = \langle d_0, \dots, d_s \rangle_p$ be positive integers with $s \geq t+1$ and $d_i = e_i$ for $i = 0, \dots, t$. For any $a_0, \dots, a_v \in \{0, \dots, p-1\}$, define

$$B_p(a_0, \dots, a_v) := \langle a_0, \dots, a_v \rangle_p - \langle a_0, \dots, a_{v-1} \rangle_p,$$

where by convention $\langle a_0, \dots, a_{v-1} \rangle_p = 0$ if $v = 0$, and also

$$\mathcal{B}_p(a_0, \dots, a_v) := \{c_p(i) : i = 1, \dots, B_p(a_0, \dots, a_v)\}$$

where $c_p(1) < c_p(2) < \dots$ denotes the sequence of all positive integers not divisible by p . Lastly, put

$$\mathcal{A}_p(n, v) := \{m \in \{1, \dots, n\} : \nu_p(m) = s - v\},$$

for each integer $v \geq 0$. The next lemma relates $\mathcal{A}_p(n, v)$ and $\mathcal{B}_p(d_0, \dots, d_v)$.

Lemma 3.1. *For each nonnegative integer $v \leq s$, we have*

$$\mathcal{A}_p(n, v) = \{jp^{s-v} : j \in \mathcal{B}_p(d_0, \dots, d_v)\}.$$

In particular, $\#\mathcal{A}_p(n, v) = B_p(d_0, \dots, d_v)$ and $\mathcal{A}_p(n, v)$ depends only on p, s, d_0, \dots, d_v .

Proof. For $m \in \{1, \dots, n\}$, we have $m \in \mathcal{A}_p(n, v)$ if and only if $p^{s-v} \mid m$ but $p^{s-v+1} \nmid m$. Therefore,

$$\begin{aligned} \#\mathcal{A}_p(n, v) &= \left\lfloor \frac{n}{p^{s-v}} \right\rfloor - \left\lfloor \frac{n}{p^{s-v+1}} \right\rfloor = \left\lfloor \sum_{i=0}^s d_i p^{v-i} \right\rfloor - \left\lfloor \sum_{i=0}^s d_i p^{v-i-1} \right\rfloor \\ &= \sum_{i=0}^v d_i p^{v-i} - \sum_{i=0}^{v-1} d_i p^{v-i-1} = \langle d_0, \dots, d_v \rangle_p - \langle d_0, \dots, d_{v-1} \rangle_p \\ &= B_p(d_0, \dots, d_v), \end{aligned}$$

and

$$\begin{aligned} \mathcal{A}_p(n, v) &= \{c_p(i)p^{s-v} : i = 1, \dots, \#\mathcal{A}_p(n, v)\} \\ &= \{c_p(i)p^{s-v} : i = 1, \dots, B_p(d_0, \dots, d_v)\} \\ &= \{jp^{s-v} : j \in \mathcal{B}_p(d_0, \dots, d_v)\}, \end{aligned}$$

as claimed. \square

Before stating the next lemma, we need to introduce some additional notation. First, we define

$$\mathcal{C}_p(n, k) := \bigcup_{v=0}^t \mathcal{A}_p(n, v) \quad \text{and} \quad \Pi_p(k) := \prod_{j \in \mathcal{C}_p(n, k)} \frac{1}{\text{free}_p(j)},$$

where $\text{free}_p(m) := m/p^{\nu_p(m)}$ for any positive integer m . Note that, since $d_i = e_i$ for $i = 0, \dots, t$, from Lemma 3.1 it follows easily that indeed $\Pi_p(k)$ depends only on p and k , and not on n . Then we put

$$U_p(k) := \sum_{v=0}^t B_p(e_0, \dots, e_v)v + t + 1,$$

while, for $a_0, \dots, a_{t+v+1} \in \{0, \dots, p-1\}$, with $v \geq 0$ and $a_i = e_i$ for $i = 0, \dots, t$, we set

$$H'_p(a_0, \dots, a_{t+v}) := \sum_{\substack{0 \leq v_1, \dots, v_k \leq t+v \\ v_1 + \dots + v_k = U_p(k) + v}} \sum_{\substack{j_1/p^{v_1} < \dots < j_k/p^{v_k} \\ j_1 \in \mathcal{B}_p(a_0, \dots, a_{v_1}), \dots, j_k \in \mathcal{B}_p(a_0, \dots, a_{v_k})}} \frac{1}{j_1 \cdots j_k}$$

and

$$H_p(a_0, \dots, a_{t+v+1}) := H'_p(a_0, \dots, a_{t+v}) + \Pi_p(k) \sum_{j \in \mathcal{B}_p(a_0, \dots, a_{t+v+1})} \frac{1}{j}.$$

Note that $\nu_p(H_p(a_0, \dots, a_{t+v+1})) \geq 0$, this fact will be fundamental later.

The following lemma gives a kind of p -adic expansion for $H(n, k)$. We use $O(p^v)$ to denote a rational number with p -adic valuation greater than or equal to v .

Lemma 3.2. *We have*

$$H(n, k) = \sum_{v=0}^{s-t-1} H_p(d_0, \dots, d_{t+v+1}) \cdot p^{v-ks+U_p(k)} + O(p^{s-t-ks+U_p(k)}).$$

Proof. Clearly, we can write

$$H(n, k) = \sum_{v=0}^{V_p(n, k)} J_p(n, k, v) \cdot p^{v-V_p(n, k)},$$

where

$$V_p(n, k) := \max\{\nu_p(i_1 \cdots i_k) : 1 \leq i_1 < \dots < i_k \leq n\},$$

and

$$J_p(n, k, v) := \sum_{\substack{1 \leq i_1 < \dots < i_k \leq n \\ \nu_p(i_1 \cdots i_k) = V_p(n, k) - v}} \frac{1}{\text{free}_p(i_1 \cdots i_k)},$$

for each nonnegative integer $v \leq V_p(n, k)$.

We shall prove that $V_p(n, k) = ks - U_p(k)$. On the one hand, we have

$$\begin{aligned} \sum_{v=0}^t B_p(e_0, \dots, e_v) &= \sum_{v=0}^t (\langle e_0, \dots, e_v \rangle_p - \langle e_0, \dots, e_{v-1} \rangle_p) \\ &= \langle e_0, \dots, e_t \rangle_p = k - 1. \end{aligned} \tag{6}$$

On the other hand, by (6) and thanks to Lemma 3.1, we obtain

$$\#\mathcal{C}_p(n, k) = \sum_{v=0}^t \#\mathcal{A}_p(n, v) = \sum_{v=0}^t B_p(e_0, \dots, e_v) = k - 1. \tag{7}$$

Hence, in order to maximize $\nu_p(i_1 \cdots i_k)$ for positive integers $i_1 < \cdots < i_k \leq n$, we have to choose i_1, \dots, i_k by picking all the $k-1$ elements of $\mathcal{C}_p(n, k)$ and exactly one element from $\mathcal{A}_p(n, t+1)$. Therefore, using again (6) and Lemma 3.1, we get

$$\begin{aligned} V_p(n, k) &= \sum_{v=0}^t \# \mathcal{A}_p(n, v)(s-v) + (s-t-1) \\ &= \sum_{v=0}^t B_p(e_0, \dots, e_v)(s-v) + (s-t-1) \\ &= \left(\sum_{v=0}^t B_p(e_0, \dots, e_v) + 1 \right) s - U_p(k) \\ &= ks - U_p(k), \end{aligned} \quad (8)$$

as desired.

Similarly, if $\nu_p(i_1 \cdots i_k) = V_p(n, k) - v$, for some positive integers $i_1 < \cdots < i_k \leq n$ and some nonnegative integer $v \leq s-t-1$, then only two cases are possible: $\nu_p(i_1), \dots, \nu_p(i_k) \geq s-t-v$; or i_1, \dots, i_k consist of all the $k-1$ elements of $\mathcal{C}_p(n, k)$ and one element of $\mathcal{A}_p(n, t+v+1)$. As a consequence,

$$J_p(n, k, v) = \sum_{\substack{1 \leq i_1 < \cdots < i_k \leq n \\ \nu_p(i_1 \cdots i_k) = V_p(n, k) - v \\ \nu_p(i_1), \dots, \nu_p(i_k) \geq s-t-v}} \frac{1}{\text{free}_p(i_1 \cdots i_k)} + \Pi_p(k) \sum_{i \in \mathcal{A}_p(n, t+v+1)} \frac{1}{\text{free}_p(i)}, \quad (9)$$

for all nonnegative integers $v \leq s-t-1$.

By putting $v_\ell := s - \nu_p(i_\ell)$ and $j_\ell := \text{free}_p(i_\ell)$ for $\ell = 1, \dots, k$, the first sum of (9) can be rewritten as

$$\begin{aligned} & \sum_{\substack{0 \leq v_1, \dots, v_k \leq t+v \\ (s-v_1) + \cdots + (s-v_k) = V_p(n, k) - v}} \sum_{\substack{i_1 < \cdots < i_k \\ i_1 \in \mathcal{A}_p(n, v_1), \dots, i_k \in \mathcal{A}_p(n, v_k)}} \frac{1}{\text{free}_p(i_1 \cdots i_k)} \\ &= \sum_{\substack{0 \leq v_1, \dots, v_k \leq t+v \\ v_1 + \cdots + v_k = U_p(k) + v}} \sum_{\substack{j_1/p^{v_1} < \cdots < j_k/p^{v_k} \\ j_1 \in \mathcal{B}_p(d_0, \dots, d_{v_1}), \dots, j_k \in \mathcal{B}_p(d_0, \dots, d_{v_k})}} \frac{1}{j_1 \cdots j_k} = H'_p(d_0, \dots, d_{t+v}), \end{aligned}$$

where we have also made use of (8) and Lemma 3.1, hence

$$J_p(n, k, v) = H_p(d_0, \dots, d_{t+v+1}), \quad (10)$$

for any nonnegative integer $v \leq s-t-1$.

At this point, being $s > t$, by (8) it follows that $V_p(n, k) > s-t-1$, hence

$$H(n, k) = \sum_{v=0}^{s-t-1} J_p(n, k, v) \cdot p^{v-ks+U_p(k)} + O(p^{s-t-ks+U_p(k)}), \quad (11)$$

since clearly $\nu_p(J_p(n, k, v)) \geq 0$ for any nonnegative integer $v \leq V_p(n, k)$.

In conclusion, the claim follows from (10) and (11). \square

Finally, we need two lemmas about the number of solutions of some congruences. For rational numbers a and b , we write $a \equiv b \pmod{p}$ to mean that $\nu_p(a-b) > 0$.

Lemma 3.3. *Let r be a rational number and let x, y be positive integers with $y < p$. Then the number of integers $v \in [x, x+y]$ such that $H_v \equiv r \pmod{p}$ is less than $\frac{3}{2}y^{2/3} + 1$.*

Proof. The case $r = 0$ is proved in [22, Lemma 2.2] and the proof works exactly in the same way even for $r \neq 0$. \square

Lemma 3.4. *Let q be a rational number and let a be a positive integer. Then the number of $d \in \{0, \dots, p-1\}$ such that*

$$\sum_{i=a}^{a+d} \frac{1}{c_p(i)} \equiv q \pmod{p} \quad (12)$$

is less than $p^{0.835}$.

Proof. It is easy to see that there exists some $h \in \{0, \dots, p-2\}$ such that

$$c_p(i) = \begin{cases} c_p(a) + i - a & \text{for } i = a, \dots, a+h, \\ c_p(a) + i - a + 1 & \text{for } i = a+h+1, \dots, a+p-1. \end{cases}$$

Therefore, by putting $x := c_p(a)$, $y := h$, and $r := q + H_{x-1}$ in Lemma 3.3, we get that the number of $d \leq h$ satisfying (12) is less than $\frac{3}{2}h^{2/3} + 1$. Similarly, by putting $x := c_p(a) + h + 2$, $y := p - h - 2$, and

$$r := q + H_{x-1} - \sum_{i=a}^{a+h} \frac{1}{c_p(i)}$$

in Lemma 3.3, we get that the number of $d \in [h+1, p-1]$ satisfying (12) is less than $\frac{3}{2}(p-h-2)^{2/3} + 1$. Thus, letting N be the number of $d \in \{0, \dots, p-1\}$ that satisfy (12), we have

$$N \leq \frac{3}{2}h^{2/3} + 1 + \frac{3}{2}(p-h-2)^{2/3} + 1 \leq 3\left(\frac{p-2}{2}\right)^{2/3} + 2.$$

Furthermore, it is clear the d and $d+1$ cannot both satisfy (12), hence $N \leq \lceil p/2 \rceil$. Finally, a little computation shows that the maximum of

$$\log_p \left(\min \left(3\left(\frac{p-2}{2}\right)^{2/3} + 2, \left\lceil \frac{p}{2} \right\rceil \right) \right)$$

is obtained for $p = 59$ and is less than 0.835, hence the claim follows. \square

4. PROOF OF THEOREM 2.1

Now we are ready to prove Theorem 2.1. For any $a_0, \dots, a_{t+u+1} \in \{0, \dots, p-1\}$, with $u \geq 0$ and $a_i = e_i$ for $i = 0, \dots, t$, let

$$\Sigma_p(a_0, \dots, a_{t+u+1}) := \sum_{v=0}^u H_p(a_0, \dots, a_{t+v+1}) \cdot p^v.$$

Furthermore, define the sequence of sets $\mathcal{T}_p^{(0)}(k), \mathcal{T}_p^{(1)}(k), \dots$ as follows: $\mathcal{T}_p^{(0)}(k) := \{\langle e_0, \dots, e_t \rangle_p\}$, and for any integer $u \geq 0$ put $\langle a_0, \dots, a_{t+u+1} \rangle_p \in \mathcal{T}_p^{(u+1)}(k)$ if and only

if $\langle a_0, \dots, a_{t+u} \rangle_p \in \mathcal{T}_p^{(u)}(k)$ and $\nu_p(\Sigma_p(a_0, \dots, a_{t+u+1})) \geq u + 1$. At this point, setting

$$\mathcal{T}_p(k) := \bigcup_{u=0}^{\infty} \mathcal{T}_p^{(u)}(k),$$

it is straightforward to see that $\mathcal{T}_p(k)$ is a p -tree of root $\langle e_0, \dots, e_t \rangle_p$. Put $W_p(k) := U_p(k) - t - 1$.

If $n \notin \mathcal{T}_p(k)$ then, for the sake of convenience, set $r := \mu_p(\mathcal{T}_p(k), n)$. Thus $r > t$, $\langle d_0, \dots, d_{r-1} \rangle_p \in \mathcal{T}_p(k)$ but $\langle d_0, \dots, d_r \rangle_p \notin \mathcal{T}_p(k)$, so that

$$\nu_p(\Sigma_p(d_0, \dots, d_r)) \leq r - t - 1. \quad (13)$$

Now we distinguish between two cases. If $r = t + 1$, then $\nu_p(\Sigma_p(d_0, \dots, d_{t+1})) = 0$ and by Lemma 3.2 we obtain $\nu_p(H(n, k)) = W_p(k) + r - ks$. If $r > t + 1$ then by $\langle d_0, \dots, d_{r-1} \rangle_p \in \mathcal{T}_p(k)$ we get that $\nu_p(\Sigma_p(d_0, \dots, d_{r-1})) \geq r - t - 1$, which together with (13) and

$$\Sigma_p(d_0, \dots, d_r) = \Sigma_p(d_0, \dots, d_{r-1}) + H_p(d_0, \dots, d_r) \cdot p^{r-t-1}$$

implies that $\nu_p(\Sigma_p(d_0, \dots, d_r)) = r - t - 1$, hence by Lemma 3.2 we get $\nu_p(H(n, k)) = W_p(k) + r - ks$, and (i) is proved.

If $n \in \mathcal{T}_p(k)$ then, by the definition of $\mathcal{T}_p(k)$, we have $\nu_p(\Sigma_p(d_0, \dots, d_s)) \geq s - t$. Therefore, by Lemma 3.2 it follows that $\nu_p(H(n, k)) > W_p(k) - (k-1)s$, and this proves (ii).

It remains only to bound the girth of $\mathcal{T}_p(k)$. Let u be a nonnegative integer and pick $\langle a_0, \dots, a_{t+u} \rangle_p \in \mathcal{T}_p^{(u)}(k)$. By the definition of $\mathcal{T}_p^{(u+1)}(k)$, we have $\langle a_0, \dots, a_{t+u+1} \rangle_p \in \mathcal{T}_p^{(u+1)}(k)$ if and only if $\nu_p(\Sigma_p(a_0, \dots, a_{t+u+1})) \geq u + 1$, which in turn is equivalent to

$$\begin{aligned} & \sum_{v=0}^{u-1} H_p(a_0, \dots, a_{t+v+1}) \cdot p^{v-u} + H'_p(a_0, \dots, a_{t+u}) + \Pi_p(k) \sum_{j \in \mathcal{B}_p(a_0, \dots, a_{t+u+1})} \frac{1}{j} \\ & \equiv \sum_{v=0}^u H_p(a_0, \dots, a_{t+v+1}) \cdot p^{v-u} \equiv 0 \pmod{p}. \end{aligned} \quad (14)$$

Using the definition of $\mathcal{B}_p(a_0, \dots, a_{t+u+1})$ and the facts that

$$B_p(a_0, \dots, a_{t+u+1}) = a_{t+u+1} + (p-1) \sum_{v=0}^u a_v p^{u-v},$$

and $\nu_p(\Pi_p(k)) = 0$, we get that (14) is equivalent to

$$\begin{aligned} & \sum_{i=a}^{a+a_{t+u+1}} \frac{1}{c_p(i)} \equiv - \sum_{i=1}^{a-1} \frac{1}{c_p(i)} \\ & - \frac{1}{\Pi_p(k)} \left(\sum_{v=0}^{u-1} H_p(a_0, \dots, a_{t+v+1}) \cdot p^{v-u} + H'_p(a_0, \dots, a_{t+u}) \right) \pmod{p}, \end{aligned} \quad (15)$$

where

$$a := (p-1) \sum_{v=0}^u a_v p^{u-v}.$$

Note that both a and the right-hand side of (15) do not depend on a_{t+u+1} . As a consequence, by Lemma 3.4 we get that $\langle a_0, \dots, a_{t+u+1} \rangle_p \in \mathcal{T}_p^{(u+1)}(k)$ for less than $p^{0.835}$ values of $a_{t+u+1} \in \{0, \dots, p-1\}$. Thus the girth of $\mathcal{T}_p(k)$ is less than $p^{0.835}$.

Finally, consider the case $p = 2$. Obviously, $1/c_2(i) \equiv 1 \pmod{2}$ for any positive integer i , while the right-hand side of (15) is equal to 0 or 1 (mod 2). Therefore, there exists one and only one choice of $a_{t+u+1} \in \{0, 1\}$ such that (15) is satisfied. This means that $\mathcal{T}_2(k)$ is infinite and its girth is equal to 1.

The proof is complete.

5. THE COMPUTATION OF $\mathcal{T}_p(k)$

Given p and k , it might be interesting to effectively compute the elements of $\mathcal{T}_p(k)$. Clearly, $\mathcal{T}_p(k)$ could be infinite — by Theorem 2.1 this is indeed the case when $p = 2$ — hence the computation should proceed by first enumerating all the elements of $\mathcal{T}_p^{(0)}(k)$, then all the elements of $\mathcal{T}_p^{(1)}(k)$, and so on. An obvious way to do this is using the recursive definition of the $\mathcal{T}_p^{(u)}(k)$'s. However, it is easy to see how this method is quite complicated and impractical. A better idea is noting that from Theorem 2.1 we have

$$\begin{aligned} \mathcal{T}_p^{(u+1)}(k) = \{ \langle a_0, \dots, a_{t+u}, b \rangle_p : \langle a_0, \dots, a_{t+u} \rangle_p \in \mathcal{T}_p^{(u)}(k), \\ \nu_p(H(\langle a_0, \dots, a_{t+u}, b \rangle_p, k)) > W_p(k) - (k-1)s \}, \end{aligned} \quad (16)$$

for all integers $u \geq 0$. Therefore, starting from $\mathcal{T}_p^{(0)}(k) = \{ \langle e_0, \dots, e_t \rangle_p \}$, formula (16) gives a way to compute recursively all the elements of $\mathcal{T}_p(k)$. In particular, if $\mathcal{T}_p(k)$ is finite, then after sufficient computation one will get $\mathcal{T}_p^{(u)}(k) = \emptyset$ for some positive integer u , so the method actually proves that $\mathcal{T}_p(k)$ is finite.

The authors implemented this algorithm in SAGEMATH, since it allows computations with arbitrary-precision p -adic numbers. In particular, they found that $\mathcal{T}_3(2), \dots, \mathcal{T}_3(6)$ are all finite sets, with respectively 8, 24, 16, 7, 23 elements, while the cardinality of $\mathcal{T}_3(7)$ is at least 43. Through these numerical experiments, it seems that, in general, $\mathcal{T}_p(k)$ does not exhibit any trivial structure (see Figures 1, 2, 3), hence the question of the finiteness of $\mathcal{T}_p(k)$ is probably a difficult one.

6. PROOF OF COROLLARY 2.2

Only for this section, let us focus on the case $p = 2$ and $k = 2$, so that $t = 0$, $e_0 = 1$, and $W_2(2) = 0$. Thanks to Theorem 2.1 we know that $\mathcal{T}_2(2)$ is infinite and its girth is equal to 1. Hence, it follows easily that there exists a sequence $f_0, f_1, \dots \in \{0, 1\}$ such that $\mathcal{T}_2^{(u)}(2) = \{ \langle f_0, \dots, f_u \rangle_2 \}$ for all integers $u \geq 0$. In particular, $f_0 = e_0 = 1$. At this point, (i) and (ii) are direct consequences of Theorem 2.1, while the recursive formula (5) is just a special case of (16).

7. PROOF OF THEOREM 2.3

On the one hand, if $n = \langle d_0, \dots, d_s \rangle_p \in (\mathcal{S}_p(k-1) \setminus \mathcal{T}_p(k)) \cap [(k-1)p, x]$ then by Theorem 2.1 we get that

$$\begin{aligned} \nu_p(H(n, k)) &= W_p(k) + \mu_p(\mathcal{T}_p(k), n) - ks \\ &\leq W_p(k) - (k-1)s \\ &= \sum_{v=0}^t B_p(e_0, \dots, e_v)v - (k-1)s \\ &\leq \sum_{v=0}^t B_p(e_0, \dots, e_v)t - (k-1)s < -(k-1)(\log_p n - \log_p(k-1) - 1), \end{aligned}$$

where we have made use of (6) and the inequalities $\mu_p(\mathcal{T}_p(k), n) \leq s$, $s > \log_p n - 1$, and $t \leq \log_p(k-1)$.

On the other hand, by Theorem 2.1, the girth of $\mathcal{T}_p(k)$ is less than $p^{0.835}$, hence it follows easily that $\#\mathcal{T}_p^{(u)}(k) < p^{0.835u}$, for any positive integer u . As a consequence,

$$\#(\mathcal{T}_p(k) \cap [(k-1)p, x]) \leq \sum_{u=1}^{\lfloor \log_p x \rfloor - t} \#\mathcal{T}_p^{(u)}(k) < \sum_{u=1}^{\lfloor \log_p x \rfloor} p^{0.835u} < 3x^{0.835},$$

and the claim follows.

8. FIGURES

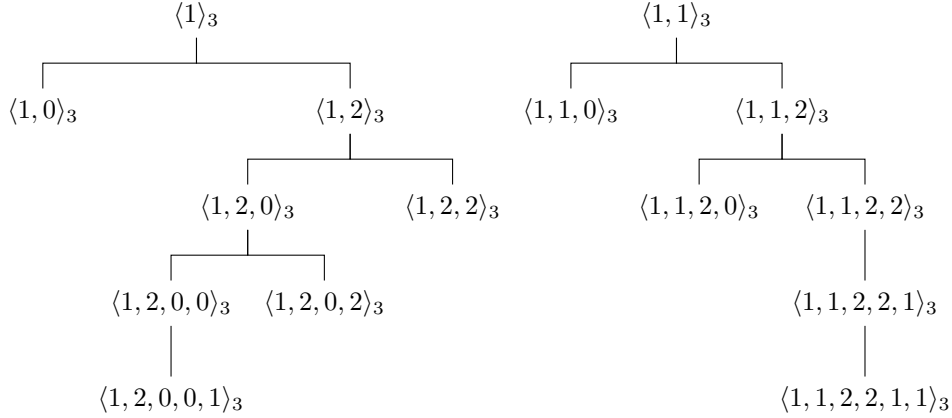
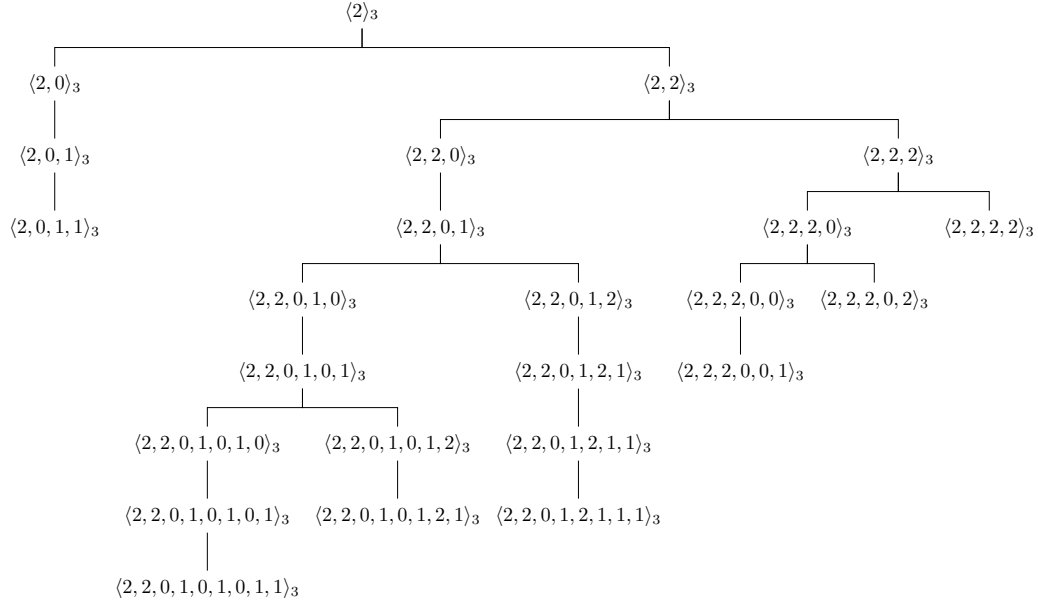
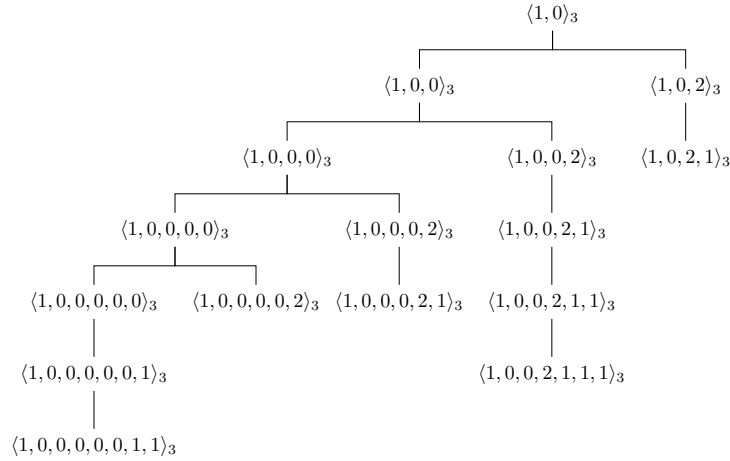


FIGURE 1. The 8 elements of $\mathcal{T}_3(2)$ (left tree), and the 7 elements of $\mathcal{T}_3(5)$ (right tree).

FIGURE 2. The 24 elements of $\mathcal{T}_3(3)$.FIGURE 3. The 16 elements of $\mathcal{T}_3(4)$.

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